

PATENT

DOCKET NO. 06998/022001

#16
mjs
8/2/99

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jonathan Hood Young et al. Art Unit: 2741
Serial No.: 08/825,534 Examiner: M. Lerner
Filed : January 22, 1999
Title : ERROR CORRECTION IN SPEECH RECOGNITION

Assistant Commissioner for Patents
Washington, DC 20231

RECEIVED
JUL 26 1999
TECH CENTER 2700

APPROVED

PETITION UNDER 37 CFR. 1.47(a)

Pursuant to 37 CFR 1.47(a) and MPEP 409.03, applicant

Jonathan Hood Young, David Wilsberg Parmenter, Robert Roth, Joev Dubach, Stijn Van Even, and Joel M. Gould respectfully state that co-applicant Gregory J. Gadbois refuses to sign the declaration adding Joel M. Gould as an inventor, and request that Mr. Gould be added as an inventor without his signature. The fee of \$130 prescribed under 37 CFR 1.17(i) is included with this petition.

An appropriate declaration executed by applicants Jonathan Hood Young, David Wilsberg Parmenter, Robert Roth, Joev Dubach, Stijn Van Even, and Joel M. Gould, but with the signature block for Mr. Gadbois left blank, as permitted by MPEP 409.03(a)(1), accompanies this petition.

Mr. Gadbois, who has previously signed a declaration and assignment filed for this application, refuses to execute the revised declaration adding Mr. Gould as an inventor. The revised declaration was mailed to Mr. Gadbois by Patri J. Pugliese, Ph.D., of Dragon Systems, Inc., the assignee of this application.

As set forth in the attached declaration of Dr. Pugliese, Mr. Gadbois subsequently confirmed receipt of the papers. In a telephone conversation between Dr. Pugliese and Mr. Gadbois on July 13, 1999, Mr. Gadbois orally refused to execute the declaration. Mr. Gadbois did not allege that the declaration was defective, or that he believed the designated inventorship to be improper. Rather, Mr. Gadbois's refusal appears to result from grievances surrounding the termination of his employment with Dragon Systems, Inc. Indeed, Mr. Gadbois subsequently offered to sign the declaration upon payment by Dragon Systems, Inc. of \$1000, an amount that was in no way due to him.

Mr. Gadbois's last known address is:

18 Hillside Avenue
Amesbury, MA 01913

This also is believed to be his current address.


As set forth above, a *bona fide* and successful effort was made to present the revised declaration to Mr. Gadbois for review and execution. Mr. Gadbois has made an express oral refusal to execute the revised declaration.

For the foregoing reasons, the revised declaration should be accepted under 37 CFR 1.47(a).

Please charge any additional fees, or make any credits, to
Deposit Account No. 06-1050, Ref. 06998/022001.

Respectfully submitted,

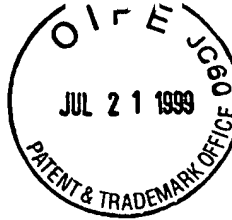
Date: July 21, 1999



John F. Hayden
Reg. No. 37,640

Fish & Richardson P.C.
601 13th Street NW
Washington, D.C. 20005

Telephone: 202/783-5070
Facsimile: 202/783-2331
100113.W11



PATENT
ATTORNEY DOCKET NO. 06998/022001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jonathan Hood Young et al. Art Unit: 2741
Serial No.: 08/825,534 Examiner: M. Lerner
Filed : January 22, 1999
Title : ERROR CORRECTION IN SPEECH RECOGNITION

Assistant Commissioner for Patents
Washington, DC 20231


PETITION TO ADD INVENTOR UNDER 37 CFR. 1.48

Applicant hereby petitions to add Joel M. Gould as an inventor. This petition is accompanied by the declaration Joel M. Gould, which states that the error in inventorship arose without deceptive intention; a declaration signed by all of the inventors except Gregory J. Gadbois; a petition under 37 CFR 1.47(a); the \$130 fee set forth in 37 CFR 1.17(i); and the written consent of the assignee, Dragon Systems, Inc.

Please charge any additional fees, or make any credits, to Deposit Account No. 06-1050, Ref. 06998/022001.

Respectfully submitted,

Date: July 21, 1999


John F. Hayden
Reg. No. 37,640

Fish & Richardson P.C.
601 13th Street NW
Washington, D.C. 20005

Telephone: 202/783-5070
Facsimile: 202/783-2331

100113.W11

07/22/1999 DVUONG 00000079 08825534

01 FC:122

130.00 OP

RECEIVED
JUL 26 1999
TECH CENTER 2780



PATENT

ATTORNEY DOCKET NO. 06998/022001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jonathan Hood Young et al. Art Unit: 2741
Serial No.: 08/825,534 Examiner: M. Lerner
Filed : January 22, 1999
Title : ERROR CORRECTION IN SPEECH RECOGNITION

Assistant Commissioner for Patents
Washington, DC 20231

DECLARATION OF PATRI J. PUGLIESE, Ph.D.

I, Patri J. Pugliese, Ph.D., hereby declare:

1. I am an employee of Dragon Systems, Inc.

2. On April 14, 1999, I sent by Federal Express to Gregory J. Gadbois a revised declaration adding Joel M. Gould as an inventor for this application. Prior to sending the declaration, I had spoken with Mr. Gadbois on the telephone to inform him that the declaration was coming, and he had expressed no unwillingness to sign it.

3. Several days later, I spoke with Mr. Gadbois to confirm that he had received the declaration. He informed me that he had misplaced it, said that he would look for it, and indicated that he would sign it and return it to me.

4. On May 26, 1999, I sent Mr. Gadbois an additional copy of the declaration.

5. On July 13, 1999, having not received the signed declaration, I telephoned Mr. Gadbois to confirm that he had

RECEIVED
JUL 26 1999
TECH CENTER 2700

received the declaration sent on May 26, and to determine when I could expect to receive a signed copy from him.

6. Initially, Mr. Gadbois refused to sign the declaration. Mr. Gadbois indicated that his unwillingness to sign resulted from grievances surrounding the termination of his employment with Dragon Systems, Inc.

7. Mr. Gadbois then offered to sign the declaration if Dragon Systems, Inc. would pay him \$100 to do so. Though I did not believe that Dragon Systems, Inc. had any obligation to pay Mr. Gadbois for his signature, I considered that \$100 was a small sum relative to the costs associated with proceeding without his signature, and told Mr. Gadbois that I would try to obtain approval to pay him the requested amount.

8. Later on July 13, I obtained approval of the \$100 payment. However, in the mean time, Mr. Gadbois had left me a voice mail message indicating that he would not sign the declaration unless he was paid \$1000 in exchange for his signature.

9. At no time did Mr. Gadbois allege that the declaration was defective, or that he believed the designated inventorship to be improper.

All statements made herein of my own knowledge are true and all statements made on information and belief are believed to be true. These statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the

United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date July 21, 1999

Patri J. Pugliese
Patri J. Pugliese, Ph.D.

100113.W11